National Archives and Records Administration

ALTERNATE II. (GRANTEES WHO ARE INDIVIDUALS)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant:

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21700, May 25, 1990]

PART 1210—UNIFORM ADMINIS-**REQUIREMENTS** TRATIVE **FOR** GRANTS AND AGREEMENTS WITH INSTITUTIONS OF HIGHER EDU-CATION, HOSPITALS, AND OTHER NON-PROFIT ORGANIZATIONS

Subpart A—General

Sec.	
1210.1	Purpose.
1210.2	Definitions.
1210.3	Effect on other issuances.
1210.4	Deviations.
1210.5	Subawards.

Subpart B—Pre-Award Requirements

1210.10	Purpose.	
1210.11	Pre-award policies.	
1210.12	Forms for applying for Federal as	,-
sistance.		

1210.13 Debarment and suspension.

1210.14 Special award conditions.

1210.15 Metric system of measurement. 1210.16 Resource Conservation and Recovery Act.

1210.17 Certifications and representations.

Subpart C—Post-Award Requirements

FINANCIAL AND PROGRAM MANAGEMENT

1210.20 Purpose of financial and program management.

1210.21 Standards for financial management systems.

1210.22 Payment. 1210.23 Cost sharing or matching.

1210.24 Program income.

1210.25 Revision of budget and program plans.

1210.26 Non-Federal audits.

1210.27 Allowable costs.

1210.28 Period of availability of funds.

PROPERTY STANDARDS

1210.30 Purpose of property standards.

Insurance coverage. 1210.31

1210.32 Real property.

1210.33 Federally-owned and exempt property

1210.34 Equipment.

1210.35 Supplies and other expendable property

1210.36 Intangible property.

1210.37 Property trust relationship.

PROCUREMENT STANDARDS

1210.40 Purpose of procurement standards.

1210.41 Recipient responsibilities.

1210.42 Codes of conduct.

1210.43 Competition.

1210.44 Procurement procedures. 1210.45 Cost and price analysis.

1210.46 Procurement records.

1210.47 Contract administration.

1210.48 Contract provisions.

REPORTS AND RECORDS

1210.50 Purpose of reports and records.

1210.51 Monitoring and reporting program performance.

1210.52 Financial reporting.

1210.53 Retention and access requirements for records.

TERMINATION AND ENFORCEMENT

1210.60 Purpose of termination and enforcement.

1210.61 Termination.

1210.62 Enforcement.

Subpart D—After-the-Award Requirements

1210.70 Purpose.

1210.71 Closeout procedures.

1210.72 Subsequent adjustments and continuing responsibilities.

1210.73 Collection of amounts due.

APPENDIX A TO PART 1210—CONTRACT PROVI-

AUTHORITY: 5 U.S.C. 301; OMB Circular A-110 (64 FR 54926, October 8, 1999).

SOURCE: 60 FR 53515, Oct. 16, 1995, unless otherwise noted.

Subpart A—General

§1210.1 Purpose.

This part establishes uniform administrative requirements for NHPRC grants and agreements awarded to institutions of higher education, hospitals, and other non-profit organizations. Non-profit organizations that implement NHPRC programs for the

§ 1210.2

States are also subject to State requirements.

§ 1210.2 Definitions.

- (a) Accrued expenditures means the charges incurred by the recipient during a given period requiring the provision of funds for:
- (1) Goods and other tangible property received;
- (2) Services performed by employees, contractors, subrecipients, and other payees; and,
- (3) Other amounts becoming owed under programs for which no current services or performance is required.
- (b) Accrued income means the sum of:(1) Earnings during a given period from
- (i) Services performed by the recipient, and
- (ii) Goods and other tangible property delivered to purchasers, and
- (2) Amounts becoming owed to the recipient for which no current services or performance is required by the recipient.
- (c) Acquisition cost of equipment means the net invoice price of the equipment, including the cost of modifications, attachments, accessories, or auxiliary apparatus necessary to make the property usable for the purpose for which it was acquired. Other charges, such as the cost of installation, transportation, taxes, duty or protective in-transit insurance, shall be included or excluded from the unit acquisition cost in accordance with the recipient's regular accounting practices.
- (d) Advance means a payment made by Treasury check or other appropriate payment mechanism to a recipient upon its request either before outlays are made by the recipient or through the use of predetermined payment schedules.
- (e) Award means financial assistance that provides support or stimulation to accomplish a public purpose. Awards include grants and other agreements in the form of money or property in lieu of money, by the NHPRC to an eligible recipient. The term does not include: technical assistance, which provides services instead of money; other assistance in the form of loans, loan guarantees, interest subsidies, or insurance; direct payments of any kind to individ-

uals; and, contracts which are required to be entered into and administered under procurement laws and regulations.

- (f) Cash contributions means the recipient's cash outlay, including the outlay of money contributed to the recipient by third parties.
- (g) *Closeout* means the process by which the NHPRC determines that all applicable administrative actions and all required work of the award have been completed by the recipient and the NHPRC.
- (h) *Contract* means a procurement contract under an award or subaward, and a procurement subcontract under a recipient's or subrecipient's contract.
- (i) *Cost sharing or matching* means that portion of project or program costs not borne by the NHPRC.
- (j) Date of completion means the date on which all work under an award is completed or the date on the award document, or any supplement or amendment thereto, on which NHPRC sponsorship ends.
- (k) *Disallowed costs* means those charges to an award that the NHPRC determines to be unallowable, in accordance with the applicable Federal cost principles or other terms and conditions contained in the award.
- (l) Equipment means tangible nonexpendable personal property including exempt property charged directly to the award having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. However, consistent with recipient policy, lower limits may be established.
- (m) *Excess property* means property under the control of the NHPRC that, as determined by the head thereof, is no longer required for its needs or the discharge of its responsibilities.
- (n) Exempt property means tangible personal property acquired in whole or in part with NHPRC funds, where the NHPRC has statutory authority to vest title in the recipient without further obligation to the Federal Government. An example of exempt property authority is contained in the Federal Grant and Cooperative Agreement Act (31 U.S.C. 6306), for property acquired under an award to conduct basic or applied research by a non-profit institution of higher education or non-profit